



DEC Recommended Practices in Early Intervention/Early Childhood Special Education

# **Administrator's Essentials:**

**Creating Policies and Procedures** That Support Recommended Practices in Early Intervention/Early Childhood Special Education (EI/ECSE)

Barbara J. Smith • December 2000

There is a link between program quality and child outcomes. Therefore, programs that employ best practices will positively impact the outcomes of children and families they serve.

Implementing recommended practices in services for young children with disabilities and their families requires administrative policies, procedures, and structures that will support such practice. For instance, providing family-based resources and supports or child-focused services in natural settings requires flexible personnel job descriptions and hours of work that promote service provision in the home or community setting during hours convenient for the family or community program. Recommended practices also require cutting-edge knowledge and skills through on-going, job-related training and technical assistance supports. This richness of policies, procedures, and supports will occur only if administrators (a) are knowledgeable of recommended practice in EI/ECSE, (b) share resources with other programs and agencies, and (c) engage in systems change and planning.

This checklist contains relevant recommended practices from the seven strands of the DEC Recommended Practices in Early Intervention/Early Childhood Special Education (Sandall, McLean, & Smith, 2000) that give specific direction to administrators. Most of these recommendations are found in the Policies, Procedures, and Systems Change chapter of the DEC Recommended Practices. This checklist of selected practices can be used by administrators to reflect on their policies. However, administrators are encouraged to become familiar with all of the practices in DEC Recommended Practices in Early Intervention/Early Childhood Special Education (Sandall, McLean, & Smith, 2000) in order to appropriately serve young children with disabilities and their families.



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## **Handout 4.10: Leadership Strategies**

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Program policies and administration promote families as partners in the planning and delivery of services, supports, and resources.					
• When creating program policies and procedures, strategies are employed to capture family and community voices and to support the active and meaningful participation of families and community groups including those that are traditionally underrepresented.  Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
• Program policies create a participatory decision-maki individuals with disabilities. Training in teaming is p <i>Examples/Notes:</i>			uding		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		
<ul> <li>Program policies ensure that families understand the confidentiality, and other matters.</li> <li>Examples/Notes:</li> </ul>	ir rights includ	ling conflict resolut	ion,		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
<ul> <li>Program policies are examined and revised as needed to ensure that they reflect and respect the diversity of children, families, and personnel.</li> <li>Examples/Notes:</li> </ul>					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		

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what the policy means.				
Examples/Notes:				
Is this practice evident in policy	y/procedure?	☐ Yes	☐ Emerging	
<ul> <li>Program policies require a fan (system entry, assessment prod Education Program (IEP), inte and individualized options fo that are not disruptive of fami</li> </ul>	cedures, Individualize ervention, transition, or the location, timing	ed Family Service etc.) including p	e Plan (IFSP)/Indivi presenting families v	duali with f
Examples/Notes:				
Is this practice evident in policy	y/procedure?	☐ Yes	☐ Emerging	
	ha dissamination of i	C 1		***
<ul> <li>Program policies provide for t outcomes to stakeholders.</li> </ul>	the dissemination of i	nformation abo	ut program initiativ	es ai
	ne dissemilation of i	nformation abo	ut program initiativ	es al
outcomes to stakeholders.		nformation abo ☐ Yes	ut program initiativ	
outcomes to stakeholders.  Examples/Notes:	y/procedure?	☐ Yes	☐ Emerging	
outcomes to stakeholders.  Examples/Notes:  Is this practice evident in policyogram policies and administrations.	y/procedure? ration promote the nmended practices incassistive technology, a	☐ Yes  use of DEC's a  cluding personner  nd EI/ECSE serv	☐ Emerging  nd other recomm  el standards, child-s vices and practices.	ende
outcomes to stakeholders.  Examples/Notes:  Is this practice evident in policy ogram policies and administractices.  Program policies reflect recomproup size, case loads, safety, a training, and technical assistant	y/procedure? ration promote the nmended practices incassistive technology, a	☐ Yes  use of DEC's a  cluding personner  nd EI/ECSE serv	☐ Emerging  nd other recomm  el standards, child-s vices and practices.	ende staff r

• Program policies establish accountability systems to action steps to ensure compliance with regulations adopted, utilized, maintained, and evaluated result Examples/Notes:	and to ensure th	at recommended p		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	
• Program policies support the provision of services (places in which typical children participate such a private preschools, child care, recreation groups, et to inclusion.  Examples/Notes:	s the home or co	mmunity settings,	public and	
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	
• Program policies ensure that the IFSP/IEP is used of type and amounts of services, the location of service <i>Examples/Notes</i> :			termine the	
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	
• Program policies ensure that family supports, service coordination, transitions, and other practices occur in response to child and family needs rather than being determined by the age of the child (e.g., b-2, 3-5).  Examples/Notes:				
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	

• Program policies ensure that multiple instructional models are available to meet the individual needs of children (e.g., less structure to more structure; child-driven to teacher-driven; peer-

mediated to teacher-mediated, etc.).



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<ul> <li>Program policies result in families and professionals from different disciplines working as a team developing and implementing IFSPs/IEPs that integrate their expertise into common goals.</li> <li>Examples/Notes:</li> </ul>				
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No	
Program policies, administration, and leadership p change efforts at the community level.	romote progra	m evaluation and	l systems	
<ul> <li>A shared vision (of all stakeholders), clear values/be context to be changed guide efforts to restructure and change result from regular analysis and evaluation of knowledge, and current practices.</li> </ul>	d reform systen	ns. Decisions about	what to	
Examples/Notes:				
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	
<ul> <li>Assessment of the interests, issues, and priorities of direction of leadership and systems change strategie Examples/Notes:</li> </ul>		ips guides the selec	ction and	
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No	
• Leadership and systems change efforts produce positive outcomes for children, families, and communities that are responsive to their needs. Evaluation data are used to ensure: (a) service utilization, (b) more efficient and effective supports for children, families, and staff, and (c) appropriate systems change leadership and strategies.				
Examples/Notes:				
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No	

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Examples/Notes:			
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Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	
<ul> <li>Leadership and systems change efforts include att development, funding, program evaluation, according curriculum and naturalistic instruction/supports.</li> </ul>			
Examples/Notes:			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	
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Leadership and systems change efforts rely on streacross systems: between consumer and system, ac among components within a system, and among	ong relationships ross systems that	and collaboration v	vithin and fa
<ul> <li>Leadership and systems change efforts rely on stroacross systems: between consumer and system, ac</li> </ul>	ong relationships ross systems that	and collaboration v	vithin and far
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<ul> <li>Leadership and systems change efforts rely on streacross systems: between consumer and system, ac among components within a system, and among Examples/Notes:</li> <li>Is this practice evident in policy/procedure?</li> <li>Leadership is committed and willing to change of teaming) to be responsive to individual needs.</li> </ul>	ong relationships ross systems that professionals from Yes	and collaboration vector deal with children and diverse discipline and diverse discipline are the control of th	vithin and far s.
<ul> <li>Leadership and systems change efforts rely on streacross systems: between consumer and system, ac among components within a system, and among Examples/Notes:</li> <li>Is this practice evident in policy/procedure?</li> <li>Leadership is committed and willing to change or</li> </ul>	ong relationships ross systems that professionals from Yes	and collaboration vector deal with children and diverse discipline and diverse discipline are the control of th	vithin and far

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Change is institutionalized through the development of accountability systems.	f coordinate	d management and			
Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
<ul> <li>Resources are provided for program evaluation that occurs along established time points, incorporating appropriate measurable indicators of progress including child and family outcomes and preferences.</li> </ul>					
Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
<ul> <li>Program evaluation is comprehensive, is multi-dimensifor assessing the progress and outcomes of change. Evacultural, contextual, demographic, and experiential per individuals with disabilities.</li> <li>Examples/Notes:</li> </ul>	luation effor	ts take into account	differing		
zaampiesji vees.					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
• Program policies delineate all components of service delivery and provide for tracking and evaluation of all components, including child and family outcomes, to ensure that recommended practices are implemented as intended.  Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□No		

#### Reference

Sandall, S., McLean, M.E., & Smith, B.J. (2000). DEC Recommended practices in early intervention/early childhood special education. Longmont, CO: Sopris West.

#### Note

This checklist is also available free of charge from the DEC website: www.dec-sped.org

For more information on DEC Recommended Practices in Early Intervention/Early Childhood Special Education:

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To order a copy of DEC Recommended Practices in Early Intervention/Early Childhood Special Education contact:

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